



Pinacol rearrangement for constructing asymmetric centers adjacent to heterocycles

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Abstract—Stereospecific pinacol-type 1,2-shift of electron-rich heterocycles was achieved under organoaluminum-promoted conditions to afford optically pure ketones or alcohols possessing these heterocycles. The method was applied to the asymmetric formal total synthesis of indolmycin. © 2002 Elsevier Science Ltd. All rights reserved.

Asymmetric center adjacent to heterocyclic structures is a motif shared by various biologically active natural/unnatural products^{1–4} (Fig. 1). In many cases, the lack of an appropriate synthetic handle renders the construction of such asymmetric centers a challenge.

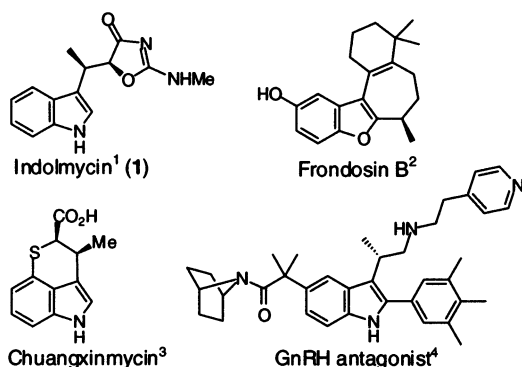
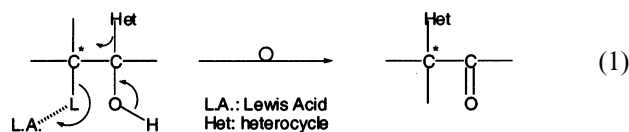


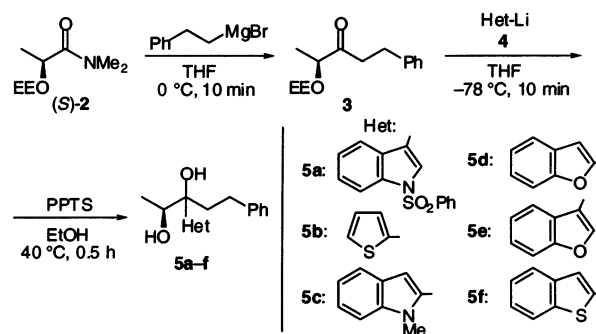
Figure 1.

We have previously described the efficient and stereoselective construction of asymmetric centers by Lewis acid-promoted pinacol-type rearrangement of mesylates and epoxides.⁵ It was unclear, however, if similar conditions could be developed for the stereo- and regioselective rearrangement of electron-rich aromatic heterocycles for constructing such structures via the 1,2-shift of heterocycles (Eq. (1)), where the presence of additional coordinating groups could potentially interfere with the Lewis acid-mediated process. We now report the successful development of conditions for the

pinacol-type rearrangement of heterocycles in the chiral lactate-derived mesyloxy alcohols, which is nicely promoted by organoaluminum Lewis acids.



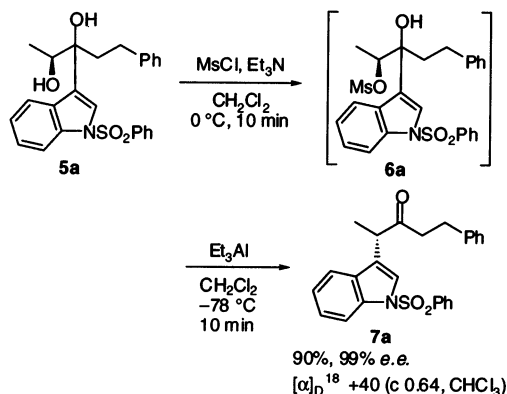
Scheme 1 shows the preparation of starting materials for the 1,2-shift of heterocycles. For instance, diol **5a**, having a 3-indolyl group, was prepared as a 7/3 diastereomeric mixture by successive introduction of a 2-phenylethyl group and a 3-indolyl group^{7a} to the chiral lactamide, (*S*)-**2**,⁸ followed by acidic removal of the ethoxyethyl protecting group. Other diols **5b–5f** were also prepared by introducing the respective lithiated heterocycle.⁷



Scheme 1.

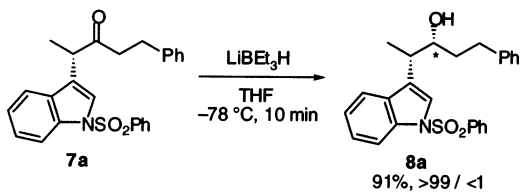
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Scheme 2 exemplifies the pinacol-type shift of a 3-indolyl group (**5a**→**7a**).⁶ Methanesulfonylation of **5a** with MsCl and Et₃N (CH₂Cl₂, 0°C, 10 min) proceeded regioselectively at the *sec*-hydroxy group to give mesyloxy alcohol **6a**, which, without isolation, was successively treated with Et₃Al (3.0 equiv.) at –78°C for 10 min.⁹ The indolyl group underwent smooth 1,2-shift, giving chiral ketone **7a** in 90% yield. The stereospecificity of the 1,2-shift was confirmed by the enantiomeric purity of **7a** (99% *e.e.*) by the chiral HPLC analysis¹⁰ (DAICEL CHIRALCEL OD-H, *i*-PrOH/hexane=1/9), reflecting the *e.e.* of the starting lactate (99% *e.e.*).¹¹



Scheme 2.

The experimental procedure for the rearrangement of **5a** to **7a** is as follows: to a solution of diol **5a** (500 mg, 1.15 mmol) in CH₂Cl₂ (5 mL) was added Et₃N (240 μL, 1.72 mmol), and MsCl (107 μL, 1.38 mmol) at 0°C. After stirring for 10 min, the mixture was chilled to –78°C, to which was added a hexane solution (0.92 mmol/mL) of Et₃Al (3.7 mL, 3.4 mmol). After 10 min, the mixture was poured into aqueous KHSO₄, and the products were extracted with Et₂O. The combined organic layer was washed with water and brine, dried (MgSO₄), and concentrated. Purification with silica gel column chromatography (EtOAc/hexane=1/6) afforded ketone **7a** (430 mg, 90%).⁶



Scheme 3.

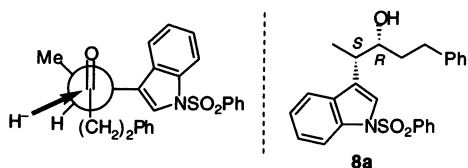


Figure 2.

Furthermore, reduction of ketone **7a** with LiEt₃H (THF, –78°C, 10 min) proceeded in excellent selectivity to give alcohol **8a**⁶ as a sole product (Scheme 3). The stereostructure of **8a** was deduced from the Felkin–Anh model¹² applied to the reduction process of **7a** with the indolyl group serving as a large group (Fig. 2). This assignment was verified by the (*R*) absolute configuration of the alcohol center according to the Mosher–Kusumi method.¹³ The (*S*) chirality at the adjacent center resulted from the inversive 1,2-shift.

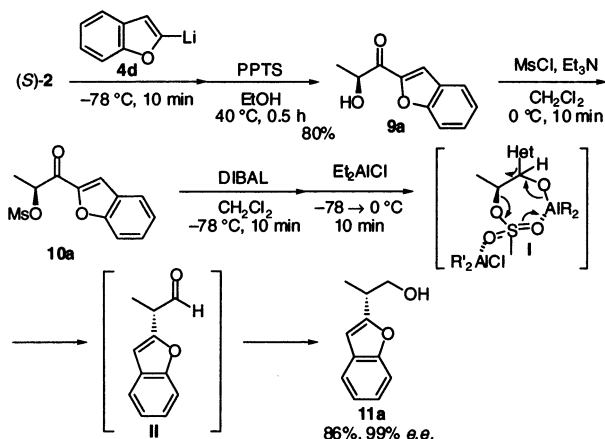
These conditions for stereoselective 1,2-shifts were directly applicable to a variety of heterocyclic structures, and results are summarized in Table 1. Migration of 2-thienyl, 1-methyl-2-indolyl, 3-benzofuranyl, and 2-benzothiophenyl groups proceeded smoothly, and optically pure ketones **7b**, **7c**, **7e**, and **7f** were obtained in high yields.^{6,14} The single exception in these attempts was the sluggishness of the 1,2-shift of 2-benzofuranyl group, resulting in a low yield of **7d** with considerable racemization, although the reason is not clear. The inherent migratory aptitude of 2-benzofuranyl group is not low, judging from the successful conversion of **10a**→**11a** (vide infra), and work is in progress for clearing this issue.

In all cases listed, subsequent reduction with LiEt₃H (THF, –78°C) proceeded diastereoselectively to afford alcohols **8b–f**.^{6,15}

Table 1.

run	product	yield/%, / % <i>e.e.</i>	product	yield/%, / % <i>d.s.</i>
1		93, 99		95, 96
2		85, 99		94, 88
3		41, 80		99, 94
4		96, 99		99, 94
5		90, 99		97, 97

The reductive version of such 1,2-shift^{5c} proved also viable in stereospecific manner, as illustrated by the reductive shift of 2-benzofuranyl group (Scheme 4). Thus, mesyloxy ketone **10a** was prepared by the reaction of 2-benzofuranylithium **4d** with the lactamide (*S*)-**2** followed by deprotection to give α -keto alcohol **9a**, which was converted into the corresponding mesylate. After simple extractive workup and drying, **10a** was dissolved in CH₂Cl₂, to which was added DIBAL (3 mol equiv.) and Et₂AlCl (1.5 mol equiv.) at -78°C followed by warming to 0°C in 10 min. By this procedure, the carbonyl reduction in **10a** occurred to generate the aluminum alkoxide **I** in situ, which underwent 1,2-shift of the 2-benzofuranyl group by activation with Et₂AlCl¹⁶ to give the aldehyde **II**, which was reduced by the excess DIBAL to give **11a**^{6,17} in 99% *e.e.*



Scheme 4.

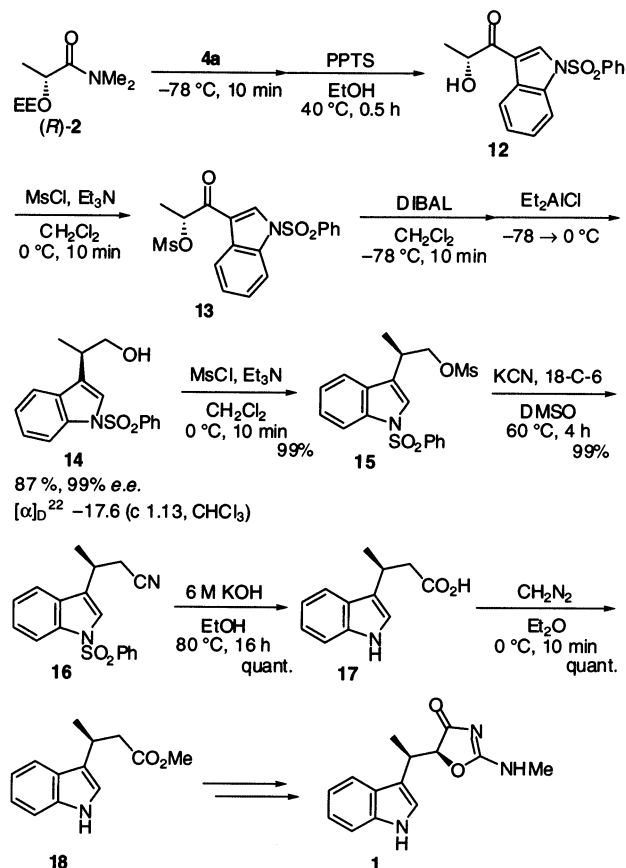
1-Methyl-2-indolyl, 2-benzothiophenyl, 5-methoxy-2-benzofuranyl,^{7b} and 3-benzofuranyl groups also shifted stereospecifically to give chiral alcohols **11b**, **11c**, **11d** and **11e**, respectively^{6,17} (Table 2).

Table 2.

run	mesyloxy ketone	product	yield/ % / % <i>e.e.</i>
1			86 99
2			98 99
3			70 99
4			88 99

Scheme 5 shows the application of this process to the formal total synthesis of indolmycin (**1**). Treatment of the enantiomeric lactamide (*R*)-**2** with 3-lithioindole **4a** (vide supra) cleanly gave the corresponding ketone, and removal of the ethoxyethyl group afforded hydroxy ketone **12**. Mesylation of **12** smoothly gave mesyloxy ketone **13**, which was successively treated with DIBAL (3 equiv.) and Et₂AlCl (1.5 equiv.) at -78°C. The 1,2-reduction occurred immediately, and was followed by the 1,2-shift of the indolyl group and reduction of the resulting aldehyde to give the chiral alcohol **14**¹⁸ in 87% yield. The enantiomeric excess of **14** was assessed by HPLC analysis (DAICEL CHIRALCEL OD-H, *i*-PrOH/hexane=1/9), showing the stereochemical integrity of the whole sequence.

The 1,2-shift proved to be stereospecific with inversion of the chiral center, as shown by the absolute configuration of the known ester **18**, the key intermediate of Takeda's synthesis of indolmycin.^{1a} Thus, mesylation of **14** to give mesylate **15**, which was then treated with KCN to afford cyanide **16**. Basic hydrolysis of **16** followed by treatment of the resulting acid **17** with diazomethane gave methyl ester **18** {[α]_D²² +11.1 (*c* 1.17, C₆H₆), lit.^{1a} [α]_D²² +10.9 (*c* 2.12, C₆H₆)}. The enantiomeric excess of **18** (99% *e.e.*) was further verified by HPLC analysis¹⁹ (DAICEL CHIRALCEL OJ-H, EtOH/hexane=1/4).

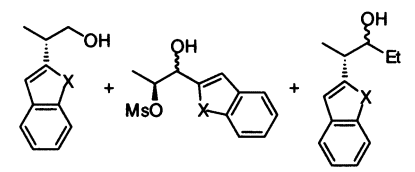


Scheme 5.

In conclusion, the stereospecific pinacol-type shift of some π -electron-rich heterocycles could be effected under organoaluminum-promoted conditions, and should find various utility in the selective construction of asymmetric centers adjacent to heterocycles.

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- All new compounds were fully characterized by spectroscopic means and combustion analysis.
- (a) 3-Indolylolithium and 3-benzofuranylolithium were generated by halogen–lithium exchange of the corresponding bromide (*n*-BuLi, THF, -78°C , 1 min); (b) 2-Thienylolithium, 2-benzothiophenylolithium, 2-benzofuranylolithium, and 5-methoxy-2-benzofuranylolithium were generated by hydrogen–lithium exchange (*n*-BuLi, THF, $-78 \rightarrow 25^{\circ}\text{C}$, 30 min); (c) 1-Methyl-2-indolylolithium was generated by hydrogen–lithium exchange in the presence of TMEDA (*n*-BuLi, THF, $-78 \rightarrow 25^{\circ}\text{C}$, 30 min).
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- This one-pot procedure is particularly suitable for the case when the intermediary mesylate was unstable. Details for the comparison of this protocol with the two-pot protocol^{5a,b} we previously employed will be disclosed elsewhere.
- An authentic sample of (\pm)-**7a** was prepared from (\pm)-ethyl lactate along the same lines.
- The *e.e.* of the ethyl (*S*)-lactate was determined to be 99% by 400 MHz ^1H NMR analysis of the ($-$)-MTPA ester.^{12a}
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- The (*R*) absolute configuration of the alcohol center was deduced by the Mosher–Kusumi method based on the chemical shifts of the corresponding (+)- and ($-$)-MTPA esters. (a) Dale, J. A.; Mosher, H. S. *J. Am. Chem. Soc.* **1973**, *95*, 512; (b) Kusumi, T.; Ohtani, I.; Inoue, Y.; Kakisawa, H. *Tetrahedron Lett.* **1988**, *29*, 4731.
- The enantiomeric excess was determined as follows. For **7b**: ketone **7b** was reduced with DIBAL to give the diastereomeric alcohols (ca. 1/1), which were converted to the corresponding (+)-MTPA esters. For comparison, the alcohols derived from (\pm)-ethyl lactate were converted to the (+)-MTPA esters. For **7c–f**: by the chiral HPLC analysis (DAICEL CHIRALCEL OD-H, *i*-PrOH/hexane = 1/9) of the chiral ketones and (\pm)-ketones.
- The diastereomers of **8b–8f** were inseparable on TLC, respectively. Each of the ratio was assessed by ^1H NMR, and the configurations of alcoholic center are based on the analogy with **8a**.
- In this reductive version of rearrangement, the second organoaluminum (Et_2AlCl) is crucial for the smooth 1,2-shift to occur, as noted previously.^{5c} In its absence, the rearrangement was much slower, and higher temperature was necessary (see also Refs. 5d and 5g). In the case where Et_3Al was used as the second Lewis acid instead, the yield was somewhat lower, and some byproducts were produced, including the one from ethylation of the aldehyde intermediate.



X	yield / %	yield / %	yield / %
NMe	78	7	-
O	47	9	15
S	57	-	38

- The enantiomeric excess was determined by the chiral HPLC analysis (DAICEL CHIRALCEL OD-H, *i*-PrOH/hexane = 1/9).
- Although compound **14** was previously reported by Bando et al. (Ref. 1c) in their formal total synthesis of indolmycin via enzymatic transformation, the specific rotation was not reported. Thus the $[\alpha]_{\text{D}}$ value of the corresponding methyl ester **18** was compared with that of **18** reported by Takeda (Ref. 1a).
- An authentic sample of (\pm)-**18** was prepared from (\pm)-ethyl lactate along the same lines.